



Service address:

**RiverSource Life Insurance Co. of New York**  
70122 Ameriprise Financial Center Minneapolis, MN 55474



## Investment Options for RiverSource Annuities

### Sold on or after 5/1/2007

RiverSource Contract Number

- i** If you are a client of Ameriprise Financial, do not use this form. Please contact your Ameriprise financial advisor or call 1-800-541-2251 for a copy of the correct form.
- For questions regarding the completion of this form, call our office at 1-800-504-0467.
- For contracts with an application signed date prior to April 30, 2012:** To change your investment allocation from a Portfolio Navigator fund to a Portfolio Stabilizer fund, you must use the Portfolio Stabilizer Fund Election form (Form 411388-ODNY), available on [riversource.com/forms](http://riversource.com/forms).

- i** Transfer guidelines and provisions may vary based on product features and/or issue state. Refer to your annuity contract or prospectus for transfer rules.
- Not all funds are available in all products. To see fund availability, view the product Performance and Rates page on [riversource.com](http://riversource.com).
- For *RAVA 5 Access<sup>®</sup>*, *RAVA 5 Choice<sup>SM</sup>*, *RAVA Apex<sup>SM</sup>* and *RAVA Vista<sup>SM</sup>* variable annuities the fixed account is not available.
- For *RAVA 5 Choice*, *RAVA Apex* and *RAVA Vista variable annuities* guaranteed period accounts are not available.

### Part 1 Contract Owner Information

Contract Owner Name

Contract Co-Contract Owner Name

### Part 2 Variable Annuity Future Payment Allocation

Complete this section to change how new money is allocated to your *RiverSource* variable annuity. Any new payment made to your annuity will be allocated according to the future payment allocation.

- Do not complete this section if you have an annuity contract with the *SecureSource Tempo NY<sup>SM</sup>* benefit.**

Fund Name	% or \$	Fund Name	% or \$
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Only RiverSource Life Insurance Co. of New York is authorized to sell insurance and annuities in New York.

Reset Form

**Part 3 Portfolio Navigator Fund Changes (For applications signed prior to 4/30/2012)**

Complete this section if you own a variable annuity contract with a living benefit rider sold prior to April 30, 2012, you are currently invested in a single Portfolio Navigator fund and wish to change to a different Portfolio Navigator fund.

- Transferring to a different Portfolio Navigator fund may result in a change to your rider fee.

**Select one**

- VP – Conservative Portfolio
- VP – Moderately Conservative Portfolio
- VP – Moderate Portfolio
- VP – Moderately Aggressive Portfolio
- VP – Aggressive Portfolio (Not available with the *Accumulation Protector Benefit*<sup>®</sup> rider with an application signed date on or after 1/26/2009)

**Part 4 Portfolio Stabilizer Fund Changes (For applications generally signed prior to 7/19/2010)**

Complete this section if you own a variable annuity contract with a living benefit rider generally sold prior to July 19, 2009, you are currently invested in a single Portfolio Stabilizer fund and wish to change to a different Portfolio Stabilizer fund.

**Select one**

- VP – Managed Volatility Conservative Fund
- VP – Managed Volatility Conservative Growth Fund
- VP – Managed Volatility Moderate Growth Fund
- VP – Managed Volatility Growth Fund

**Part 5 Investment Options for Variable Annuities with a Living Benefit Rider (For applications generally signed on or after 7/19/2010)**

Complete this section if you own a variable annuity contract sold on or after July 19, 2010 with a living benefit rider, you are currently invested in one or more Portfolio Stabilizer funds, and you wish to change your investment options. **Do not complete this section for contracts with the *SecureSource Tempo NY* benefit with Investment Path 2.**

<b>Portfolio Stabilizer funds - Global series</b>	
VP - Managed Volatility Conservative Fund	<input type="text"/> %
VP - Managed Volatility Conservative Growth Fund	<input type="text"/> %
VP - Managed Volatility Moderate Growth Fund	<input type="text"/> %
VP - Managed Volatility Growth Fund*	<input type="text"/> %
<b>Portfolio Stabilizer funds - Domestic series</b>	
VP - U.S. Flexible Conservative Growth Fund	<input type="text"/> %
VP - U.S. Flexible Moderate Growth Fund	<input type="text"/> %
VP - U.S. Flexible Growth Fund*	<input type="text"/> %
<b>Portfolio Stabilizer funds - Managed Risk</b>	
VP - Managed Risk Fund*	<input type="text"/> %
VP - Managed Risk U.S. Fund*	<input type="text"/> %
<b>Portfolio Navigator funds</b>	
VP - Conservative Portfolio**	<input type="text"/> %
VP - Moderately Conservative Portfolio**	<input type="text"/> %
VP - Moderate Portfolio**	<input type="text"/> %
<b>Investment Total - must equal 100%</b>	

\* Not available with the *Accumulation Protector Benefit* rider with an application signed date between 7/30/2012 and 8/19/2018.

\*\*Only available for *SecureSource* benefits with an application signed date on or after 10/22/2018.



**Part 6 Update Allocations for Variable Annuities with SecureSource Tempo NY benefit with Investment Path 2**

Complete this section if you own a variable annuity contract with the *SecureSource Tempo NY* benefit with Investment Path 2, and you wish to change your contract allocations.

- To view your allocation options for the *SecureSource Tempo NY* benefit, visit [riversource.com/tempo](http://riversource.com/tempo)

The new election instructions will be applied immediately to any future payment allocations. You have the option to transfer the existing contract value into the new elections now, or at the next quarterly rebalance date. **Would you like to transfer the funds into the new allocations immediately, or wait to transfer the funds on the next quarterly rebalance date?**

- Transfer the funds immediately
- Transfer the funds at the next quarterly rebalance

**New Election**

Fund Name	%	Fund Name	%

**Part 7 Investment Options for Variable Annuities without a Living Benefit Rider**

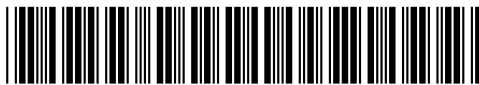
Complete this section if you own a variable annuity contract without a living benefit rider.

**One Time Fund Transfer**

From Account Fund Name	% or \$	To Account Fund Name	% or \$

**One Time Reallocation of Funds - Only available for contracts starting with 9930**

Fund Name	% or \$	Fund Name	% or \$



**Part 8 Scheduled Rebalancing for Variable Annuities**

Complete this section if you wish to establish, modify or discontinue a rebalance arrangement. **Not available for variable annuities with the SecureSource Tempo NY benefit with Investment Path 2.**

- Only variable subaccounts are eligible for scheduled rebalancing.
- Fixed accounts, including the regular fixed account, the RiverSource Life One-Year Fixed Account, or a Guaranteed Period Account (GPA), are not an option for scheduled rebalancing.
- A scheduled rebalance cannot be on the same day as a Dollar Cost Average (DCA) arrangement.
- If enrolled in a DCA arrangement, rebalance allocations must match DCA allocations.
- You may discontinue or modify your rebalancing arrangement at any time. If you change your new money allocation, your contract value will be reallocated accordingly on the next scheduled rebalancing date.

**Select One**

- Establish Arrangement       Modify Arrangement       Discontinue Arrangement

**Frequency**

- Quarterly (4/Yr)       Semiannually (2/Yr)       Annually (1/Yr)

**Start date**  (MMDDYYYY)      **End date**  (MMDDYYYY)

Rebalance according to new money allocation.

Account Name	%	Account Name	%

**Part 9 Dollar Cost Average for Variable Annuities/ Interest Sweep Plan**

Complete this section if you have a variable annuity and wish to establish a dollar cost average (DCA) or interest sweep arrangement. **Not available for annuities with the Portfolio Navigator program or living benefit riders.**

- The fixed account is not a valid destination account.
- If an automated transfer, as scheduled, falls on a date that is not a normal business day, the transfer will be made on the next normal business day.
- If this request is received after the requested start date, transfers will begin on the day specified in the following month.

**Select One**

- Establish Arrangement       Modify Arrangement       Discontinue Arrangement

**Amount**

- Dollar Amount  (Minimums apply. See your prospectus for details.)  
 Interest Sweep

**Frequency** (If no frequency indicated, transfers will be set up monthly)

- Monthly (12/Yr)       Quarterly (4/Yr)       Semiannually (2/Yr)       Annually (1/Yr)

**Start date**  (MMDDYYYY)      **End date**  (MMDDYYYY)

If no end date is specified, the DCA or interest sweep plan will continue until you discontinue the DCA or the value of any of the requested "Transfer From" funds becomes too low to allow a transfer of the requested amount.



<i>From Account Fund Name</i>	<i>% or \$</i>	<i>To Account Fund Name</i>	<i>% or \$</i>

**Part 10 Variable Annuity Special Dollar Cost Average (For add on payments only)**

Complete this section if you have a variable annuity and wish to dollar cost average from one of the Special Dollar Cost Average (SDCA) Fixed Accounts into the investment options selected above. Not available for accounts with a Systematic Investment Plan.

- SDCA is only available for new payments of at least \$10,000. There is no SDCA minimum for *RAVA 5, RAVA Apex, RAVA Vista* and Innovations Select variable annuities.

**Select One**  
 Establish Arrangement     Discontinue Arrangement

**Total Amount**  (Minimums apply. See your prospectus for details.)

**Frequency:** Monthly

**Length of Time to Participate**     6 months     12 months

**Start date**  (MMDDYYYY)    **End date**  (MMDDYYYY)

If no end date is specified, the DCA will continue until you discontinue the DCA or the value of *any* of the requested "Transfer From" funds becomes too low to allow a transfer of the requested amount.

**From account:** Fixed Account

<i>To Account Fund Name</i>	<i>% or \$</i>	<i>To Account Fund Name</i>	<i>% or \$</i>

**Part 11 Acknowledgements and Signatures**

Additional information for DCA and SDCA Arrangements:

- The Fixed Account effective annual rate(s) applies only to payments for a new annuity, or new additional payments to an existing annuity. All effective annual interest rates apply only to amounts remaining in the DCA or SDCA Fixed Account and are not credited after the value has been transferred to the selected accounts.
- RiverSource Life reserves the right to discontinue or modify the DCA or SDCA Fixed Account Program at any time without prior notice. Any change would not affect contract holders currently enrolled in the DCA or SDCA Fixed Account Program.
- RiverSource Life will calculate the monthly transfer amount. Interest is credited and compounded daily. The monthly transfer amount is determined by dividing the value of the DCA or SDCA Fixed Account by the number of months remaining in the DCA or SDCA Fixed Account Program period.
- All amounts must be transferred to the selected accounts within 6 or 12 months, depending on the time period you select. Additional payments into an existing DCA Fixed Account will be transferred to the selected accounts within the remaining time period. For the SDCA fixed account, additional payments will be transferred to the selected accounts for the entire 6 or 12 month time period.



- You may terminate participation in the DCA or SDCA Fixed Account Program at any time by contacting us. Upon termination, you will no longer receive the DCA or SDCA Fixed Account effective annual rate.
- DCA or SDCA does not assure profit nor protect against loss in declining markets. Since the plan provides for continuous investment, regardless of fluctuating prices, investors must consider their financial ability to continue to invest during low price levels. The effective annual yield or total return will fluctuate along with market and other economic conditions. Past performance does not guarantee future results.
- If you are funding a DCA Fixed Account from multiple sources, we apply each purchase payment to the account and credit interest on that purchase payment on the date we receive it. This means that all purchase payments may not be in the DCA Fixed Account at the beginning of the period. Therefore, you may receive less total interest than you would if all your purchase payments were in the DCA Fixed Account from the beginning. If we receive any of your multiple payments after the DCA Fixed Account period ends, you can either allocate those payments to a new DCA Fixed Account (if available) or to any other accounts under your contract.
- A DCA or Interest Sweep plan does not assure profit or protect against loss in declining markets. Since either plan provides for continuous investment, regardless of fluctuating prices, you should consider their financial ability to continue to invest during low price levels.

You acknowledge that you have read the all disclosures and understand the implications of the selection/request that you have made.

Contract Owner Signature

Date (MMDDYYYY)

X

Contract Co-Contract Owner Signature

Date (MMDDYYYY)

X